

## EXTREMAL CLIQUE COVERINGS OF COMPLEMENTARY GRAPHS

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Let  $cc(G)$  (*resp.*  $cp(G)$ ) be the least number of complete subgraphs needed to cover (*resp.* partition) the edges of a graph  $G$ . We present bounds on  $\max\{cc(G)+cc(\bar{G})\}$ ,  $\max\{cp(G)+cp(\bar{G})\}$ ,  $\max\{cc(G)cc(\bar{G})\}$  and  $\max\{cp(G)cp(\bar{G})\}$  where the maximum are taken over all graphs  $G$  on  $n$  vertices and  $\bar{G}$  is the complement of  $G$  in  $K_n$ . Several related open problems are also given.

## Introduction

Let  $G$  be a graph on  $n$  vertices and let  $\bar{G}$  be its complement in  $K_n$ , the complete graph on  $n$  vertices. If  $f$  is a real valued function defined on graphs, what are the extreme values of  $f(G)+f(\bar{G})$  and  $f(G)f(\bar{G})$ ? E. A. Nordhaus and J. W. Gaddum (see e.g. [5]) considered those questions when the function is the chromatic number. D. Taylor, R. D. Dutton and R. C. Brigham [5] studied the questions for several other functions. One of those is the *clique covering number*. That is  $cc(G)$ , the least number of complete subgraphs (*cliques*) of  $G$  necessary to cover the edge set of  $G$ . We continue their investigation. We also consider the questions for another function the *clique partition number*. That is  $cp(G)$ , the least number of cliques needed to partition the edge set of  $G$ .

In Theorem 1, we establish the right inequality of  $\lfloor n^2/4 \rfloor + 2 \leq \max\{cc(G) + cc(\bar{G})\} \leq (n^2/4)(1+o(1))$  where the maximum is taken over all graphs  $G$  on  $n$  vertices. The bipartite graph  $K_{\lfloor n/2 \rfloor, \lfloor n/2 \rfloor}$  assumes the lower bound.

In Theorem 2 we modify the proof of Theorem 1 to show that  $\max\{cc(G)cc(\bar{G})\} \leq (n^4/256)(1+o(1))$ , where the maximum is taken over all  $n$ -vertex graphs  $G$ . D. Taylor et al. [5, Theorem 5] gave an example of a graph  $F$  for which  $cc(F)cc(\bar{F}) = n^2(n+8)^2/256$ . The graph  $F$  is obtained from two copies  $A_1$  and  $A_2$  of  $K_{n/4}$  and two copies  $A_2$  and  $A_3$  of  $\bar{K}_{n/4}$  by joining each vertex of  $A_i$  to each vertex of  $A_{i+1}$  ( $i=1, 2$  and  $3$ ). When  $n$  is not divisible by 4 the construction can be modified to yield a similar graph. Hence Theorem 2 establishes the conjecture made in [5], that  $\max\{cc(G)cc(\bar{G})\} \sim n^4/256$  where the maximum is taken over all  $n$ -vertex graphs  $G$ .

Somewhat weaker results for the clique partition number are obtained in Theorems 3, 4 and 5. They imply

$$\frac{7}{25} n^2 + O(n) \leq \max \{cp(G) + cp(\bar{G})\} \leq \frac{13}{30} n^2 + O(n) \quad \text{and}$$

$$\frac{29}{2000} n^4 + O(n^3) \leq \max \{cp(G)cp(\bar{G})\} \leq \frac{169}{3600} n^4 + O(n^3)$$

where the maxima are taken over all  $n$ -vertex graphs  $G$ .

We state several related open problems at the end of the paper.

### Results

**Theorem 1.** *For some  $d > 0$  and all graphs  $G$  on  $n$  vertices,  $cc(G) + cc(\bar{G}) < (n^2/4)(1 + d/\log n)$ .*

**Proof.** Suppose  $4c \leq n/4c^3$ . From a sequence  $\mathcal{S} = \{K^1, K^2, \dots, K^l\}$  of cliques  $K^i$  in  $K_n$  by choosing  $K^i$  to be a clique in  $G$  or in  $\bar{G}$  which covers at least  $c$  edges uncovered by  $K^1 \cup K^2 \cup \dots \cup K^{i-1}$ . The process halts when such a selection is no longer possible. Now  $l \leq n^2/c$ . If a vertex has fewer than  $n/c$  incident edges in  $G$  or in  $\bar{G}$ , augment  $\mathcal{S}$  by adding these edges separately, and continue repeating this step until there are no such vertices remaining. At most  $2n^2/c$  new cliques have been added to  $\mathcal{S}$ . Let  $H_1$  (or  $H_2$ ) denote the subgraph of  $K_n$  induced by the set of edges of  $G$  (respectively  $\bar{G}$ ) not contained in the union of the cliques in  $\mathcal{S}$ , and put  $H = H_1 \cup H_2$ . Let  $T$  denote the set of vertices of  $H$  with degree at least  $n/c$  in both  $H_1$  and  $H_2$ , and let  $U$  and  $V$  denote the sets of vertices in  $K_n - T$  with degree at least  $n/c$  in  $H_1$  and  $H_2$  respectively. Note that vertices in  $U$  and  $V$  have degree 0 in  $H_2$  and  $H_1$  respectively.

In [2] it is shown that  $cc(D) \leq k^2/4$  for all  $k$ -vertex graphs  $D$ . Therefore the edges of  $H$  with both ends in  $U$  or both ends in  $V$  can be covered by at most  $|U|^2/4$  or  $|V|^2/4$  cliques respectively. We further augment  $\mathcal{S}$  by these cliques, which adds at most  $n^2/4$  cliques to  $\mathcal{S}$ .

We next show that  $|T| \leq n/c$ . Assume  $|T| > n/c$ . Then at least  $n^2/2c^2$  edges of  $H_1$  have at least one end in  $T$ . It follows that some set  $E$  of at least  $n/2c^2$  such edges are all incident with some vertex  $p$ . Let  $T' = \{v \in T : pv \in E\}$ . Then  $|T'| \geq n/2c^2$ , so at least  $n^2/4c^3$  edges of  $H_2$  have at least one end in  $T'$ . Then a set  $F$  of  $n/4c^3$  or more such edges are all incident with some vertex  $q$ . Let  $T'' = \{v \in T' : qv \in F\}$ . Then  $|T''| \geq n/4c^3$ . By the bound for Ramsey's Theorem given for example in [1, Theorem 7.5],  $G$  or  $\bar{G}$  contains a clique  $K$  with  $c$  vertices in  $T''$ . Therefore the clique spanned by  $K$  and  $p$  (or  $K$  and  $q$ ) covers  $c$  edges of  $H_1$  (respectively  $H_2$ ) incident with  $p$  (respectively  $q$ ). But this contradicts the definition of  $\mathcal{S}$ . Thus  $|T| \leq n/c$  as claimed. Hence we can further augment the cliques in  $\mathcal{S}$  by adding all edges of  $H$  incident with vertices in  $T$  as separate cliques. There are at most  $n^2/c$  such edges.

The cliques in  $\mathcal{S}$  now form a clique covering of  $G$  and a clique covering of  $\bar{G}$ , and  $|\mathcal{S}| \leq n^2/4 + 4n^2/c$ . For large  $n$  we can take  $3c > \log n$ , which gives the theorem. ■

**Theorem 2.** For some  $d > 0$  and all graphs  $G$  on  $n$  vertices,  $cc(G) \cdot cc(\bar{G}) < n^4(1 + d/\log n)/256$ .

**Proof.** In the proof of Theorem 1, we obtained a clique covering of  $G$  using at most  $4n^2/c + |U|^2/4$  cliques, and a clique covering of  $\bar{G}$  using at most  $4n^2/c + |V|^2/4$  cliques, where  $4c \leq n/4c^3$  and  $|U| + |V| \leq n$ . Hence  $cc(G)cc(\bar{G}) \leq (4n^2/c + a^2/4)(4n^2/c + b^2/4)$  where each of these factors is at most  $n^2/2$ , and  $a + b \leq n$ . This product is at most  $4n^4/c + a^2b^2/16$ , which is maximised when  $a = b = n/2$ . Hence  $cc(G)cc(\bar{G}) \leq 4n^4/c + n^4/256$ . Taking  $3c > \log n$  as in Theorem 1, we obtain the result. ■

**Corollary.** For each graph  $G$  on  $n$  vertices  $\min(cc(G), cc(\bar{G})) \leq n^2/16(1 + o(1))$ . ■

If  $G_1$  and  $G_2$  are vertex-disjoint graphs, then  $G_1 \vee G_2$  is the graph formed from the union of  $G_1$  with  $G_2$  by adding edges joining each vertex of  $G_1$  to each vertex of  $G_2$ .

**Lemma 1.** [3, Theorem 3]. Let  $G = A \vee \bar{K}_q$ . If  $A$  has  $p$  vertices and  $e$  edges, and the edge-chromatic number  $\chi'(A)$  of  $A$  is at most  $q$ , then  $cp(H) = pq - e$ . ■

We note that  $\chi'(K_m) = m$  or  $m - 1$  according as  $m$  is odd or even. Therefore for all  $m \geq 1$ ,

$$(1) \quad cp(K_m \vee \bar{K}_m) = m^2 - \binom{m}{2}$$

and

$$(2) \quad cp(K_{m+r} \vee \bar{K}_{2m}) = 2m(m+r) - \binom{m+r}{2} \quad \text{when } 0 \leq r \leq m.$$

Let  $A$  and  $B$  be replicas of  $K_m$  and let  $H_m$  be the graph diagrammed in Figure 1. There, as in all figures below, a double line joining two graphs  $G_1$  and  $G_2$  indicates that every vertex in  $G_1$  is adjacent to every vertex in  $G_2$ .

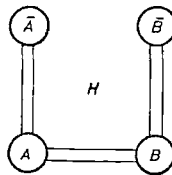


Fig. 1

**Lemma 2.** For all  $m \geq 1$ ,  $cp(H_m) \geq \frac{7}{4}m^2 + m$ .

**Proof.** Let  $\mathcal{C}$  be a clique partition of  $H = H_m$  of least cardinality (so that  $|\mathcal{C}| = cp(H)$ ). Denote the subfamily  $\{K^1, K^2, \dots, K^\sigma\}$ , consisting of those cliques in  $\mathcal{C}$  with vertices in both graphs  $A$  and  $B$ , by  $\mathcal{S}$ . From subgraphs  $A'$  and  $B'$  of  $A$  and  $B$  by deleting the edges of all cliques in  $\mathcal{S}$  from  $A$  and  $B$  respectively. Let  $d_i$  and  $e_i$  be the number of vertices of  $K^i$  in  $A$  and  $B$  respectively. Denote the clique partitions of  $\bar{A} \vee A'$  and  $\bar{B} \vee B'$  induced by  $\mathcal{C} - \mathcal{S}$  by  $\mathcal{C}_A$  and  $\mathcal{C}_B$  respectively. Thus  $cp(H) = |\mathcal{C}_A| + |\mathcal{C}_B| + \sigma$ . But

$$|\mathcal{C}_A| \geq cp(\bar{A} \vee A') = m^2 - \binom{m}{2} + \sum_{i=1}^{\sigma} \binom{d_i}{2}$$

by Lemma 1. Similar statements for  $B$  imply that

$$cp(H) \cong m^2 + m + \sigma + \sum_{i=1}^{\sigma} \binom{d_i}{2} + \binom{e_i}{2}.$$

Differentiation shows that the minimum of the quantities

$$\frac{\binom{d}{2} + \binom{e}{2} + 1}{de},$$

where  $d, e$  are positive integers and  $de \geq 1$ , is  $3/4$ . This minimum is achieved at  $d=e=2$ . Now every edge with one vertex in  $A$  and the other in  $B$  must be covered by some member of  $\mathcal{S}$ . Also  $K^i$  in  $\mathcal{S}$  covers exactly  $d_i e_i$  edges joining  $A$  to  $B$ . Thus

$$\sum_{i=1}^{\sigma} d_i e_i = m^2$$

and hence  $cp(H) \cong 7/4 m^2 + m$ . ■

**Theorem 3.** Let  $r$  be the remainder when  $n$  is divided by 5. For each  $n \geq 20$

$$\max \{cp(G) + cp(\bar{G})\} \geq \frac{7n^2}{25} + \frac{(25+2r)n - 41r^2}{50},$$

where the maximum is over all graphs  $G$  on  $n$  vertices.

**Proof.** Let  $L$  be a replica of  $K_{m+r}$  and let  $K$  be a replica of  $K_m$ . Define  $G_n$  to be the graph whose diagram is given in Figure 2 (a). The diagram of  $\bar{G}_n$  is given in Figure 2 (b). (We use the same diagrammatic convention here as for Figure 1.)

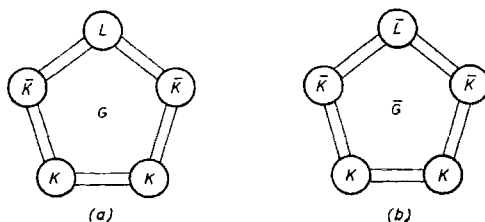


Fig. 2

The graph  $G = G_n$  is the edge-disjoint union of  $H \cong H_m$  and  $H' \cong K_{m+r} \vee \bar{K}_{2m}$ . Since every clique in  $G$  has all its edges in  $H$  or all its edges in  $H'$ , we have  $cp(G) = cp(H) + cp(H')$ . Similarly  $cp(\bar{G}) = cp(H) + 2cp(\bar{K} \vee L)$ . Since  $n \geq 20$ ,  $m \geq 4$  and so equations (1) and (2) imply

$$(3) \quad \frac{7n^2}{25} + \frac{25n + 2nr - 41r^2}{50} \leq cp(G_n) + cp(\bar{G}_n). \quad \blacksquare$$

When does equality hold in (3)? It is a direct consequence of the following Lemma that equality holds infinitely often.

**Lemma 3.** [4, proof of Theorem 4, pp. 346, 347]. *Let  $K(q, k)$  be the complete  $k$ -partite graph defined by  $k$  vertex-disjoint replicas of  $\bar{K}_q$ . Then the edge set of  $K(q, k)$  can be partitioned into cliques of order  $k$  if there exist  $k-2$  mutually orthogonal Latin squares on  $q$  symbols. ■*

With  $k=4$ , Lemma 3 implies that the edges joining  $A$  to  $B$  in the graph  $H_m$  of Lemma 2 can be covered using edge-disjoint replicas of  $K_4$  for even  $m \geq 12$ . Therefore when  $n \geq 64$  and  $(n-r)/5$  is even, equality holds in (3).

**Theorem 4.** *For each graph  $G$  on  $n$  vertices,  $cp(G) + cp(\bar{G}) \leq 13n^2/30 - n/6$ .*

**Proof.** Let us construct a clique partition of  $K_n$  into triangles and edges, each of which is in  $G$  or  $\bar{G}$ . First select as many edge-disjoint triangles as possible. Then the set of  $s$  edges uncovered by any of these  $t$  triangles cannot contain the edge set of a copy of  $K_6$ , for otherwise  $G$  or  $\bar{G}$  would contain a triangle by an instance of Ramsey's theorem. Therefore, by Turán's theorem (see e.g. [1, Theorem 7.9]),  $s \leq 2n^2/5$ . Since  $3t + s = \binom{n}{2}$ , it follows that the partition has at most  $13n^2/30 - n/6$  members. ■

The coefficient of  $n^2$  appearing in the right side of the inequality of Theorem 4 can be reduced by  $1/204$  by using  $K_4$ 's as well as  $K_3$ 's and  $K_2$ 's in the clique partition, and bounds on higher Ramsey numbers lead to further improvements. However, this approach cannot lead to an exact determination of  $\max \{cp(G) + cp(\bar{G})\}$ . The bound in Theorem 3 is probably nearer to the actual value.

**Theorem 5.** *Taking the maximum over all graphs on  $n$  vertices,*

$$\frac{39}{2000} n^4 + O(n^3) < \max \{cp(G) + cp(\bar{G})\} < \frac{169}{3600} n^4 + O(n^3).$$

**Proof.** The left inequality is obtained by using the graph  $G_n$  of Theorem 3. The right inequality is obtained from the clique partition of  $K_n$  constructed in the proof of Theorem 4. It has  $x$  of its cliques in  $G$  and  $\left(\frac{13}{30}n^2 - \frac{n}{6} - x\right)$  cliques in  $\bar{G}$ . ■

### Concluding remarks

L. Pyber proved that the lower bound in Theorem 1 is sharp for  $n$  large. Possibly Theorem 3 is close to best possible; that is,  $\max \{cp(G) + cp(\bar{G})\} \sim 7n^2/25$  where the maximum is taken over all  $n$ -vertex graphs  $G$ . Suppose  $G_1 \cup G_2 \cup G_3 = K_n$  where the  $G_i$  are edge-disjoint. If  $R$  is the graph diagrammed in Figure 3 with  $A = \bar{K}_{n/5}$ , then we can have  $G_1 \cong G_2 \cong R$  and so  $cp(G_1) + cp(G_2) = 2n^2/5$ . (We use the same diagrammatic convention here as in Figure 1.) Probably this is the maximum possible value of  $cp(G_1) + cp(G_2)$ . The estimate  $cc(G_1) + cc(G_2) + cc(G_3) = 2n^2/5 (1 + o(1))$  was proved by L. Pyber (see pp. 393—398 of this issue). Perhaps

$\max \{cc(G_1) + cc(G_2) + cc(G_3)\} = 2n^2/5 + 5$ , taking the maximum over all  $n$ -vertex graphs.

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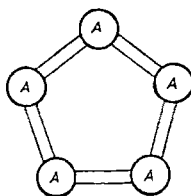


Fig. 3

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